

INITIAL REVIEW

INVESTIGATOR submits duly-accomplished forms [Review Checklist (2A), Application Form (2B), Study Protocol Assessment Form (2C), Informed Consent Assessment Form (2D) and other protocol-related documents as enumerated in the checklist] to the Secretariat who forwards it to the Chair (2 days)



Chair classifies type of submission: exempted, full board, expedited (2 days)



EXEMPTED: finalized at the level of the Chair



IERC notifies investigator of exemption (2 days)



FULL BOARD: IERC *en banc* deliberates on full board action and decision during the monthly full board meeting



Approval: IERC notifies investigator
Minor Modification: IERC notifies investigator;
resubmission is processed by expedited review
Major Modification: IERC notifies investigator;
resubmission is processed by full board review
Disapproval: IERC notifies investigator
(7 days after full board meeting)

recommend action; finalized at the level of the Chair (7 days)



Approval: IERC notifies investigator
Minor or Major Modification: IERC
notifies investigator; resubmission is
processed by expedited review
Disapproval: IERC notifies

Disapproval: IERC notifies investigator

(3 days after Primary Reviewers make a recommendation)

POST-APPROVAL REVIEW

INVESTIGATOR submits duly-accomplished forms for Study Protocol Amendments (3A), Continuing Review Applications (3B), Final Reports (3C), Non-compliance/Deviation/Violation Reports (3D), Early Study Termination Application (3E), Participant Queries and Complaints (3F), Serious Adverse Events Report (3G), and Progress Reports (3L), along with other protocol-related documents to the Secretariat who forwards it to the Chair (2 days)



Chair classifies type of submission: exempted, full board, expedited (2 days)



FULL BOARD: IERC *en banc* deliberates on full board action and decision during the monthly full board meeting



EXPEDITED: Primary Reviewers recommend action; finalized at the level of the Chair (7 days)



AMENDMENT

Approval: IERC notifies investigator

Minor Modification: IERC notifies investigator; resubmission is processed by expedited review

Major Modification: IERC notifies investigator; resubmission is processed by full board review

Disapproval: IERC notifies investigator (7 days after full board meeting)

OTHER POST-APPROVAL SUBMISSIONS

Uphold original approval
Request information

Recommend further action

Full board: **7 days** after full board meeting Expedited: **3 days** after Primary Reviewers make a recommendation

AMENDMENT

Approval: IERC notifies investigator
Minor or Major Modification: IERC
notifies investigator; resubmission is
processed by expedited review

Disapproval: IERC notifies investigator

(3 days after Primary Reviewers make a recommendation)